

3600.2390-D2

Docket: AM-2390-D2

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Date: February 27, 2003 Ingrid C. Mallory  
Ingrid C. Mallory

**Introductory Remarks****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Application of:** Jianming FU**Attorneys Docket:** AM-2390.D2**Serial No.:** 09/918,136**Art Unit No.:** 1745**Filed:** July 30, 2001**Examiner:** G. Cantelmo**For:** "SELF IONIZED SPUTTERING USING A HIGH DENSITY PLASMA SOURCE"

Commissioner for Patents  
Washington, DC 20231

**AMENDMENT UNDER 37 CFR §1.115**

Sir:

In an amendment for consideration with the filing of a Request for Reconsideration, please amend the above application as follows:

**1201****\$168.00 03/04/2003****1202****\$270.00 03/04/2003**

PTO/SB/30 (10/2001)

Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# **REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL**

Address to:  
Commissioner for Patents  
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Washington, DC 20231

Application Number	09/918,136
Filing Date	July 30, 2001
First Named Inventor	Jianming FU
Art Unit	1745
Examiner Name	G. Cantelmo
Attorney Docket Number	AM-2390.D2

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. **Submission required under 37 CFR §1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other \_\_\_\_\_

## 2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)

b. ☐ Other \_\_\_\_\_

## 3. **Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0636

i. ☒ RCE fee required under 37 CFR §1.17(e)

ii. ☐ Extension of time fee (37 CFR §§1.136 and 1.17)

iii. ☒ Other extra fee claims

b. ☒ Check in the amount of \$ 1188.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print / Type)	Charles S. Guenzler	Registration No. (Attorney / Agent)	30,640
Signature		Date	February 24, 2003

## **CERTIFICATE OF MAILING OR TRANSMISSION**

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Name (Print / Type)	Ingrid C. Mallory	Date	February 24, 2003
Signature			

Garden Hort. Statement: This form is estimated to take 0.2 hours to complete. Time will vary, depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT FILL IN THESE OR COMPLETED FORMS TO THIS ADDRESS. For fees and completed forms to the following address: Assistant Commissioner for Patent Fee RCE, Washington, DC 20231.

1801

\$750.00 03/04/2003

**AMENDMENT TRANSMITTAL LETTER (Large Entity)**Applicant(s): **Jianming FU**

Docket No.

**AM-2390.D2**

Serial No.

**09/918,136**

Filing Date

**July 30, 2001**

Examiner

**G. Cantelmo**

Group Art Unit

**1745**Invention: **SELF IONIZED SPUTTERING USING A HIGH DENSITY PLASMA SOURCE****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	36 -	21 =	15 x	\$18.00	\$270.00
INDEP. CLAIMS	6 -	4 =	2 x	\$84.00	\$168.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$438.00

- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$438.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0636**  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: **February 24, 2003**

**Charles S. Guenzer, Reg. No. 30,640**  
(650) 566-8040

Mailing Address:

**Applied Materials, Inc.**  
**Patent/Legal Department**  
**P.O. Box 450A**  
**San Jose, CA 94306**

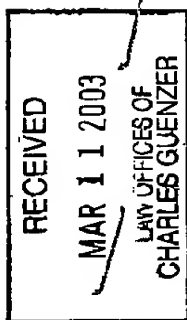
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20231.

Signature of Person Mailing Correspondence

**Ingrid C. Mallory**

Typed or Printed Name of Person Mailing Correspondence

cc:



APPLICANTS: Jianming Fu  
 TITLE: Self Ionized Sputtering Using a High Density Plasma Source  
 SERIAL NO.: 09/918,136  
 DATE FILED: July 30, 2001

Check Enclosed: Yes  
 Mailing Cart: First Class

Kindly stamp receipt date and mail to acknowledge receipt of the accompanying:

1. Request for Continued Examination Transmittal (1 p.)
2. Amendment Transmittal Letter (1 p.)
2. Amendment Under 37 CFR 1.115 (8 pp.)
3. Check (\$1168)

Date Mailed: February 24, 2003  
 File No: 3600.2390.D2



Doc dated: 3/14/03 ICM

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**TO:** Ms. Nadine Clark  
USPTO

**FROM:**

**Fax No.:** 703-578-6812  
**Voice No.:** 578-6810

Charles S. Guenzer, Esq.  
Law Offices of Charles Guenzer  
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voice: (650) 566-8040  
email: csg@palypat.com

**Re:** Duplicate papers

**Your Ref:** USSN 09/918,136

**Our Ref:** AM-2390.D2

**REMARKS**

Pursuant to your telephone request of today, attached are copies of papers filed February 24, 2003 and date stamped on March 4, 2003. Those papers are:

- (1) Stamped postcard and check (1 p.)
- (2) Request for Continued Examination (1 p.)
- (3) Amendment Transmittal Letter (1 p.)
- (4) Rule 115 Amendment (8 pp.)

I certify that the above papers are being faxed to the above fax number on June 12, 2003.



Charles S. Guenzer  
Reg. No. 30,640  
Attorney of Record

Date: 6/12/03  
f:\fax\_form\CSG\PTO

No. of Pages (including cover): 12